

Research Administration Brown Bag Series

December 10, 2024



**Northeastern
University**

Agenda

- NU-RES Admin Updates
- Data/Systems Updates
- NU-RES Finance Updates
- NU-RES Compliance Updates
- Upcoming Events

NU-RES Admin Updates

National Institutes of Health (NIH):

- **NOT-HS-25-006**: New AHRQ "FORMS-I" Grant Application Forms and Instructions Coming for Due Dates on or after January 25, 2025

If your intended due date is?	You must use...
On or before January 24, 2025, including: <ul style="list-style-type: none">• Applications submitted for due dates on or before January 24, 2025	FORMS-H application package
On or after January 25, 2025, including: <ul style="list-style-type: none">• Applications submitted for due dates on or after January 25, 2025• All application types (New, Resubmission, Renewal, Revision)• Applications submitted early for intended due dates on or after January 25, 2025	FORMS-I application package

NU-RES Admin Updates

Off Campus Rate:

The off-campus rate is applicable to all extramurally funded sponsored activities where documentation in proposal/award materials confirms:

- There are multiple performance sites and the Preponderance of the Time and Effort related to the extramurally funded sponsored activity is conducted at a site that is not Northeastern owned or leased; **and**
- The cost of the leased or rented space is included as a direct cost in the project budget and is paid as a direct cost by the funding agency.

Full guidance online at <https://nu-res.research.northeastern.edu/wp-content/uploads/2020/04/Off-Campus-IDC-Rate-Guidance-4-28-20.pdf>

NU-RES Admin Updates

Off Campus Rate (cont'd):

Exceptions related to Off Campus rate guidance are possible.

1. Regardless of place of performance, a funding agency stipulates an F&A rate and provides written documentation of their indirect cost policy statement that prohibits the University's recovery of the applicable federal F&A rate; or
2. The PI may request an exception to this guidance by submitting a written request to their college's Associate Dean for Research/ Finance requesting under-recovery of the allowable, applicable F&A costs. College-level approvals to forego recovery of allowable indirect costs must be attached to ePAWS proposal records at the time the proposal is routed to NU-RES for review.
3. The Northeastern owned or paid-for (e.g. leased) facility is not included in the effective federally negotiated rate agreement (e.g., foreign Northeastern campus).

- ***Approved reduced rates that do not meet the Off Campus qualifications should be referred to as "reduced rate" in the budget justification and/or proposal.***

NU-RES Admin Updates

- **Coming soon:** Guidance and materials to assist departments in submitting eCLAWS records for subaward/subcontract actions (new initiations and modifications); details to come in January
- Reminder to please submit and note/mark as expedited all award/subaward actions with end of year/prior to 12/24 break deadlines ASAP

Data/Systems Updates

Update to the **NU-RES Website Search Page** based on your feedback!

Everything on one page....Global Search AND by Organization/Investigator/Responsibility-Topic/Research Administrator

DEMO...

Search Contacts

Search any field here

Use the dropdowns below to search by specific unit, investigator, area of responsibility or research administrator. You can find contact information for faculty and staff in [NU Employee Directory](#). If Investigator is not listed, search the Employee Directory to identify the organizational unit. Then, select the appropriate organizational unit to find the contact.

Organizational Unit	Investigator	Responsibility/Topic	Research Administrator
D'Amore McKim School of Business (DIV21)	All	College Default Contacts	NU-RES_Hub , Website
D'Amore McKim School of Business (DIV21)	All	Pre-Award	Kenzie Moore
D'Amore McKim School of Business (DIV21)	All	Post-Award	John Reilly
D'Amore McKim School of Business (DIV21)	All	NU-RES Proposal GO	Bridget Carney
D'Amore McKim School of Business (DIV21)	All	NU-RES Transaction GO	Justyna Szulc
D'Amore McKim School of Business (DIV21)	All	NU-RES SubContracts/Subawards	Nancy Bynoe
D'Amore McKim School of Business (DIV21)	All	NU-RES Subaward Invoices	LeAnh Wong
D'Amore McKim School of Business (DIV21)	All	NU-RES Research Finance Analyst	Shaquille Ebadi
D'Amore McKim School of Business (DIV21)	All	NU-RES Research Account Analyst	Ligia Tyson
D'Amore McKim School of Business (DIV21)	All	CUI/Export Control	Research Compliance
D'Amore McKim School of Business (DIV21)	All	FCOI	FCOI
D'Amore McKim School of Business (DIV21)	All	RCR	RCR , Website
D'Amore McKim School of Business (DIV21)	All	Regulatory Coordinator	Rucely Rodriguez

Legend:

- *Beige: College Admins*
- *Blue: NU-RES Admin*
- *Green: NU-RES Finance*
- *Yellow: NU-RES Compliance*

If you see incorrect information, send email to NU-RESHC@northeastern.edu



Data/Systems Team

- Thank YOU students!
 - Anushka Chandiramani – Business Analyst co-op ends this month
 - Giles Gordon – Web Specialist co-op ends this month
 - Mandar Jadhav - Data Analyst is graduating from NU this month
 - Geetha Parthasarathy - Web Specialist is graduating from NU this month



NU-RES Finance Updates

- REMINDER- All Final FFRs and Invoices are due by 12/19. Please work with your Analyst to finalize as soon as possible.
- Workday update on FDM

NU-RES Compliance Updates

- DOE Research Security Training Requirements for all R&D Financial Assistance Awards
 - The purpose of the [DOE FAL \(FAL 2025-02\)](#) is to provide information and guidance regarding DOE’s implementation of research security training requirements for covered individuals listed on financial assistance applications and for the organizations applying for such an award, per Section 10634 of the CHIPS & Science Act.
 - Covered individuals must certify that they have “completed within one year of such application research security training that meets the guidelines [described in the Act]”.
 - DOE interprets this as the 12 months immediately preceding the application date.

NU-RES Compliance Updates

- DOE Covered Individual Definition
 - Covered Individual means **an individual who (a) contributes in a substantive, meaningful way to the development or execution of the scope of work of a project funded by DOE or proposed for funding by DOE, and (b) is designated as a covered individual by DOE.**
 - At a minimum, DOE designates as covered individuals any principal investigator (PI); project director (PD); co-principal investigator (Co-PI); co-project director (Co-PD); project manager; and any individual regardless of title that is functionally performing as a PI, PD, Co-PI, Co-PD, or project manager.

NU-RES Compliance Updates

- DOE Research Security Training Requirement
 - Optional until **May 1, 2025** – mandatory thereafter
 - Covered individuals listed on applications under this funding opportunity are required to certify that they have taken research security training
 - New covered individuals at the recipient and subrecipient levels added to the project must certify that they have completed the training within 30 calendar days of the individual joining the project.
 - **Hard stop:** RS training will need to be completed before a DOE proposal is submitted!
 - 4 NSF funded RS training modules meet the requirement
 - We highly encourage PIs with active DOE awards and those submitting DOE proposals to fulfill this requirement now.
 - Communications will be made directly to PIs with DOE awards and those submitting DOE awards in addition to a broader communication to the Northeastern research community

NU-RES Compliance Updates

- Northeastern Research Security Training Program
 - Training modules published to and accessible in Workday Learning
 - Allows for trainings to be assigned and track attendance
 - Set and send reminders
 - 3 short, internally developed modules that will cover;
 - Export Controls
 - Covered Defense Information (CDI)
 - International Travel
 - 4 NSF developed modules that cover;
 - What is Research Security?
 - Disclosure
 - Manage and Mitigate Risk
 - International Collaboration
 - 1 condensed version of the NSF modules developed by University of Michigan, Stanford, Ohio State, and Duke

NU-RES Compliance Updates

- DOE Digital Persistent Identifier or Persistent Identifier (DPI/PID) Requirements for all R&D Financial Assistance Awards
 - The purpose of the [DOE FAL \(FAL 2024-05\)](#) is to provide information and guidance regarding DOE's implementation of the digital persistent identifier requirements included in NSPM-33, the NSPM-33 Implementation Guidance, the OSTP Public Access Memo, the DOE Public Access Plan, and the Policy Regarding Use of Common Disclosure Forms.

NU-RES Compliance Updates

- Requirements for Applicants and Recipients
 - Applicants must ensure that any individuals who meet both conditions below obtain a DPI/PID for themselves that meets the common/core standards specified in the NSPM-33 Implementation Guidance or successor guidance (e.g., ORCID ID).
 - 1. Individual is listed within financial assistance applications that will fund R&D activities, or technical assistance to support R&D activities; and
 - 2. Individual is required to submit Biographical Sketch and/or Current and Pending (Other) Support disclosure.
 - The DPI/PID must be provided in the Biographical Sketch and/or Current and Pending (Other) Support disclosures as part of the NOFO application. The inclusion of a PID will be optional until May 1, 2025, and mandatory thereafter.
 - We are continuously updating our [research security website](#) to stay up-to-date with all new regulations and policies.

NU-RES Compliance Updates

- *Freakonomics Radio* Episodes on Fraud in Academia from January 2024
 - Episode 572: Why Is There So Much Fraud in Academia?
 - Part 1 of 2: Some of the biggest names in behavioral science stand accused of faking their results. Last year, an astonishing 10,000 research papers were retracted. Freakonomics Radio talks to whistleblowers, reformers, and a co-author who got caught up in the chaos.
 - Episode 573: Can Academic Fraud Be Stopped?
 - Part 2 of 2: Probably not – the incentives are too strong. Scholarly publishing is a \$28 billion global industry, with misconduct at every level. But a few reformers are gaining ground.

Upcoming Events

- Check out the [NU-RES Events Calendar](#)
- [Virtual Satellite Office Hours](#), Thursdays 1:30 - 2:30 PM EST

Upcoming Events

- **Track 3 – Virtual RCR Workshops**

- 4 Workshops remain during AY 24/25

- Managing Professional Relationships in Research: Wednesday, December 11, 2024, from 12:00 PM – 1:00 PM EST via Teams
 - Copyright for Academics: Monday, February 17, 2025, from 12:00 PM – 1:30PM EST via Teams
 - Peer Review: Monday, March 10, 2025, from 12:00 PM – 1:30 PM EST via Teams
 - Wellness and Self-Care for the Researcher: Wednesday, March 26, 2025, from 12:00 PM – 1:00 PM EST via Teams

- [Registration](#)

- [Workshop Descriptions](#)

- **Learn More Session: "Uniform Guidance 2024 Revisions and Their Impacts"**

- Thursday, December 19 from 12:00 PM – 1:00 PM EST via Teams

- Presenters: Amanda Humphrey, Chief Research Operations Officer, NU-RES; and Alex Hui, Executive Director – Research Finance, NU-RES
 - [NU-RES Event Calendar Link](#)

Upcoming Events

- **Professional Development Learn More Series – Part 2:**

- [NU-RES Event Calendar Link](#)

- **When:** Thursday, December 12, 2024, from 1:00 PM – 2:00 PM EST via Zoom
- **Title:** Writing Emails That Get Read and Acted On
- **Workshop Duration:** 50 minutes
- **Presenter:** Rich Trombetta, HR Learning & Engagement
- **Workshop Description:** A recent report showed that today's office workers receive an average of 121 emails per day and send over 40 as well. Add in texts, Teams' messages, and social media and the average person is on information overload. However, there are simple ways to write emails that get read and acted on.
- **Goals/Objectives of Workshop:** Participants will leave this workshop with an understanding of what is known as Smart Brevity, an approach that helps people produce emails (or almost any other type of written communication) that enable readers to quickly and easily focus on the most important information in the least amount of time.
- **Target Audience:** Anyone

NU-RES and You!

Thank You!

See you in January of
the New Year!

