

Research Administration Brown Bag Series

October 10, 2023



**Northeastern
University**

Agenda

- NU-RES Admin Updates
- Data/Systems Updates
- NU-RES Finance Updates
- NU-RES Compliance Updates
- Upcoming Events

NU-RES Admin Updates

NSF Updates:

- Updates to the NSF Public Access Repository (NSF-PAR) system:
 - Effective October 2, 2023.
 - Conference proceedings and workshop reports are new product types in the NSF-PAR.
 - NSF-PAR search and filter feature has been updated to include all five product types.
 - Journal articles, conference papers, and conference proceedings can be added to the NSF-PAR with or without a DOI.
 - Journal article and conference paper metadata auto-populated using a DOI or entered manually in the NSF-PAR will be auto-populated in NSF project reports. Dataset metadata entered in the NSF-PAR with a DOI will also be auto-populated in NSF project reports.
 - There are no changes to NSF's Public Access policy or project reporting requirements.
 - A new *Adding Research Products to NSF-PAR and NSF Project Reports* guide will be available on the Research.gov [About Public Access](#) page

NU-RES Admin Updates

NIH Updates:

- [NOT-OD-23-185](#): Prior Approval Requests for Revisions to an Approved Data Management and Sharing (DMS) Plan Must be Submitted Using the Prior Approval Module
 - If DMS Plan revisions are necessary (e.g., new scientific direction, a different data repository, or a timeline revision) Plans should be updated by recipients and reviewed and approved by the NIH.
 - All requests must be submitted by the Authorized Organization Representative (Signing Official (SO) role in eRA Commons) at least 30 days in advance of the requested change.
 - The currently approved DMS Plan remains in effect until the request is approved.
 - Emails and other communications are not acceptable.
 - In the Prior Approval Module, recipients must Select “Prior Approval – Other Request” and follow the instructions provided to complete the required entry. This functionality may only be used for revised DMS Plan prior approval requests.
 - Functionality will be available for NIH grants effective October 12, 2023

NU-RES Admin Updates

NIH Updates:

- [NOT-OD-23-182](#): NIH Final Updated Policy Guidance for Subaward/Consortium Written Agreements
 - Updates [NOT-OD-23-133](#).
 - Changes the effective date to January 1, 2024
 - For foreign subrecipients, a provision requiring the foreign subrecipient to provide **access** to copies of all lab notebooks, all data, and all documentation that supports the research outcomes as described in the progress report, to the primary recipient with a frequency of **no less than once per year**, in alignment with the timing requirements for Research Performance Progress Report submission. **Such access may be entirely electronic.**
 - NIH **expects** recipients to ask potential subrecipients, at the application stage, to submit language in their letters of support indicating their awareness of these requirements (Section 15.2 of the NIH Grants Policy Statement) and the subrecipient's willingness to abide by all requirements should an award be issued.

Data/Systems Updates

- Updated ePAWs 1.0 includes a new "Previous Search" button
 - When you search on the 'search' tab, and then select a record from your search results, the record will now have a "previous search" tab; when selected, you will be returned to your search results page...no more having to re-do the search



- The ePAWs affiliation table, which was defaulted at 0%, now defaults at 100%; we updated all previous 0% affiliations to be 100%, with the ability to edit that percent on each proposal/award
 - Next step: produce a Cognos report to show the EPAWS affiliation data
- The completed tab default search setting of a date range in the last 6 months has been removed; when you select the completed tab, it will now show all completed records by default (not only those from the last 6 months)...you can then insert your own search parameters
- 10/23/23...

Data/Systems Updates

- SciENcv and ORCID....required for use on all NSF proposals for BioSketch and Current and Pending (Other) support as of 10/23/23
 - Join us on Thursday 10/12/23 for a special Learn More session "Be Ready for SciENcv and ORCID" presented by our colleagues in COE
 - If you have any issues with SciENcv, let NU-RES Help Center know for communication with NCBI to address
- To coincide with Tuesday News on the NU-RES Homepage...if we add/change/update anything on the website (of significance), we will post a note about the changes on the homepage

Research Payroll Certification Reports FY23 – Important Dates

FY23 Payroll Certification Reports will be generated by Research Finance following the September close.

1. Final Payroll Distribution Changes (PDCs) for FY23

- PDCs due to Research Finance by **Tuesday, September 19th**
- PDCs due to Payroll Team for final processing by **Tuesday, September 26th**

**Department Administrators: be sure to coordinate with your DIV approvers so that PDCs reach RF no later than 9/19/23. PDCs that miss these deadlines will not post for the September close and will not be reflected in FY23 certs.*

2. September 2023 Financial Close


- Scheduled for **Tuesday, October 10th**

3. Research Payroll Certification Reports FY23

- Reports will be distributed to department contacts starting **Wednesday, October 11th**
- Signed certifications are due back to RF by **Wednesday, November 1st**
- Learn More session coming soon!

Advance Account Request Changes

- New Advance Account Request Form
 - Replaces the use of the Transaction form for Advance Account Requests
 - Completed forms should be emailed to both Banner_Setups@northeastern.edu and NU-RES@northeastern.edu
 - Form will be located here: <https://research.northeastern.edu/nu-res/all-resources/>



Northeastern University
Research Enterprise Services

Date _____

Log # _____

AT-RISK ADVANCE ACCOUNT REQUEST FORM

Attach written confirmation of the intent to fund the project along with completed form to banner_setups@northeastern.edu and nu-res@northeastern.edu.

| General Information | | Key Contacts | |
|---|--|---|--|
| Project Title: | | Principal Investigator: | |
| Funding Agency: | | PI ID #: | |
| Agency Award # (if known): | | College: | |
| Prime Funding Agency: | | Organization Code: <small>(cannot be changed after Banner Setup)</small> | |
| Total Award Project Period: <small>(Start Date cannot be changed after Banner Setup)</small> | | Department Administrator: | |
| ePaves Proposal #: <small>(must be entered in ePaves prior to request)</small> | | Grant Officer: | |
| Department Account #: | | Research Finance Analyst: | |
| Is this request related to an existing grant? | <input type="radio"/> No – New Request <input type="radio"/> Yes – Add'l Year <small>if yes, Grant #:</small> | Research Account Analyst: | |

| Budget Information | |
|--|---|
| Not to Exceed Amount: | Total Direct Costs: |
| Total Anticipated Award: | Modified Total Direct Costs: |
| IDC Rate: <small>IDC rate should match rate submitted in proposal</small> | Indirect Costs: |
| Does award include subawards: | <small>If a budget matching the Not to Exceed Amount is not included with the request, the advance account will be created with all direct costs in account code 78001 Special Services as a placeholder.</small> |
| Does the award require Cost-Share: | Resources & Tools: https://research.northeastern.edu/nu-res/all-resources/ |
| Does the award include Participant Support: | Policies & Guidelines: https://research.northeastern.edu/nu-res/policies-guidelines/#/policies |
| Special Remarks: | |

| Signatures | |
|--|--|
| <small>Signatures on this form approve the creation of an At-Risk Advance Account for expected future sponsored funding for the named Project Title. If the expected sponsored funding is not subsequently awarded, the Department Account # provided will serve as the funding source for all expenses incurred on the At-Risk Advance Account.</small> | |
| PI Signature | |
| Division/Chair Signature | |
| <small>Once created, At-Risk Advance Accounts can be identified in Banner Finance and in Cognos Reports by their status of "M". After the sponsored agreement has been received, the account will lose its "At-Risk" status, and the Banner Finance status will update to "A".</small> | |

NU-RES Compliance Updates

- The Fall 2023 Issue of the NU-RESearch Newsletter is being edited and will be distributed soon.

Upcoming Events

- **Virtual Satellite Office Hours**, Thursdays 1:30-2:30pm
- **Upcoming NCURA Live Webinar:**
 - Spooky Business: Managing SBIR/STTR Projects
 - Wednesday, October 11, 2023, from 2:00 PM – 3:30 PM and 3:35 PM – 4:30 PM after webinar talk
 - Additional information regarding the session can be found [here](#)
 - Free registration link: <https://onlinelearning.ncura.edu/p/XL231011sbir-sttr>
- **Second October Learn More Session:**
 - Be Ready for SciENCv and ORCID!
 - Thursday, October 12, 2023, from 12:00 PM – 12:45 PM
 - Presenters: Kayla Portillo and Juan Carlos Hincapie from the COE Dean's Office in collaboration with NU-RES
- **Upcoming NCURA Live Webinar:**
 - NIH Data Management & Sharing Policy: Budgeting and Application Tips and Tricks
 - Monday, October 30, 2023, from 2:00 PM – 3:30 PM and 3:35 PM – 4:30 PM after webinar talk
 - Additional information regarding the session can be found [here](#)
 - Free registration link: <https://onlinelearning.ncura.edu/p/231030XLdata>

Upcoming Events (continued)

- Upcoming RCR Session:
 - **Grant Financial Management**
 - Presented by Alex Hui, NU-RES Finance
 - Wednesday, October 11, 2023, from 12:00 PM – 12:45 PM
 - **Academic Publications**
 - Presented by Prof. Pam Mabrouk, College of Science – Chemistry and Chemical Biology
 - Monday, October 23, 2023, from 12:00 PM – 1:30 PM
 - **Export Controls and Global Research**
 - Presented by Lissette Gilster, Export Control Officer, NU-RES Compliance
 - Wednesday, November 1, 2023, from 12:00 PM – 12:45 PM
- To register for any RCR sessions use the [RCR Workshop Calendar and Registration](#)

NU-RES and You!

Thank You!

See you in November!

