

Research Administration Brown Bag Series

May 16, 2023



**Northeastern
University**

Agenda

- NU-RES Admin updates
- NU-RES Finance updates
- Data/Systems updates
- NU-RES Compliance updates
- NU-RES Summer 2023 Workshop
- Upcoming events

NU-RES Admin Updates

- FY End Reminders
 - ePAWS proposals that are submitted to sponsor but not certified by PIs/ Depts by 6/30 will not count in your numbers for FY23.
 - Should you need to amend a credit split or org/department after an ePAWs record is completed, complete a Transaction Form and have it approved by any impacted department chairs and PIs. (multiple PIs/chairs can approve by email attached to the form). Completed Transaction Forms should be routed to nu-res@northeastern.edu. NU-RES will update the database, typically within 10 business days.

NU-RES Finance Updates

- **Interim UG Audit starts May 2023**
 - RF team may reach out to department administrators on specific audit questions/requests beginning this month.
 - Please contact Jeff Bonda, Process & Audit Manager, with any questions.
- **Participant Support Costs – Reminders:**
 - [Participant Costs - Cash Management Guidance](#)
 - [Participant Support Costs Review and Process](#)
 - Stipends or subsistence allowances are through payroll or DPAY process. Please use this [guidance](#) document to help with the determination of which mechanism you should use to process this expense.
 - When submitting stipend DPAYs, include a brief description of the individual's training experience.
 - Please contact your assigned Research Finance Analyst with any questions.

NU-RES Finance – Portfolio Update

RAA distribution exceptions (blanket assignments)

HHS Felisia Lim
NSF Nikole Lynch

Division Code	College / Division	Research Finance Analyst (RFA)	Research Account Analyst (RAA)
DIV08	Public Safety	Stanlyann Alteon	Chris Tiller
DIV20	Bouve College Health Sciences PharmD Fellowships	Mai Nguyen Mai Nguyen	Marisa LeForge Marisa LeForge
DIV21	D'Amore-McKim School of Business	Mai Nguyen	Ligia Tyson
DIV23	College of Engineering		
	123400 Bioengineering	Stanlyann Alteon	Daniel Nguyen
	124400 CENSSIS	Meg Connolly	Daniel Nguyen
	123310 Center High Rate Nanomanufacturing	Meg Connolly	Felisia Lim
	123000 Chemical Engineering	Stanlyann Alteon	Felisia Lim
	123100 Civil and Environmental Engineering	Stanlyann Alteon	Felisia Lim
	124300 COE-Undergraduate Student Services	Meg Connolly	Felisia Lim
	123200 Electrical and Computer Engineering	Meg Connolly	Ligia Tyson
	124200 Engineering Office Of the Dean	Meg Connolly	Felisia Lim
	126000 Engineering Technology	Meg Connolly	Felisia Lim
	125000 Graduate Engineering-Administrative	Meg Connolly	Felisia Lim
	123300 Mechanical + Industrial Engineering	Stanlyann Alteon	Felisia Lim
	123120 PROTECT	Meg Connolly	Daniel Nguyen
	131000 Stem	Meg Connolly	Felisia Lim
	123110 VOTERS	Meg Connolly	Felisia Lim
	124350 Wireless Internet of Things	Meg Connolly	Felisia Lim
	124360 Institute for Experiential Robotics	Meg Connolly	Felisia Lim
DIV27	CPS	Meg Connolly	Chris Tiller

Division Code	College / Division	Research Finance Analyst (RFA)	Research Account Analyst (RAA)
DIV28	School of Law	Meg Connolly	Ligia Tyson
DIV29	Khoury College of Computer Sciences	Kendra Todman	Chris Tiller
DIV30	Provost	Stanlyann Alteon	Ligia Tyson
DIV31	Library	Mai Nguyen	Marisa LeForge
DIV35	Research and Graduate Education	Stanlyann Alteon	Daniel Nguyen
DIV36	Education Innovation	Mai Nguyen	Marisa LeForge
DIV37	College of Science	Kendra Todman	Nikole Lynch
DIV38	College of Arts, Media and Design	Meg Connolly	Daniel Nguyen
DIV39	College of Social Sci. + Humanities	Mai Nguyen	Chris Tiller
DIV47	Gordon Inst Of Eng Leadership(GIEL)	Stanlyann Alteon	Nikole Lynch
DIV49	VP Burlington Campus	Kendra Todman	Daniel Nguyen
DIV49	Mills College at Northeastern	Mai Nguyen	Nikole Lynch
DIV53	Roux Institute	Meg Connolly	Felisia Lim



Data/Systems Updates

- Amanda Mello has returned from leave (Welcome Back!)....and is taking her new Masters in Data Analytics from NU for a ride on the ITS Business Intelligence Team working with May Fox and supporting NU-RES starting Monday 5/2/23....Congrats Amanda!
 - Backfill position interviews are ongoing and we hope to have a great candidate soon who can hit the ground running!
- Update on PT Students working on our team:
 - Mandar Jadhav m.jadhav@northeastern.edu; Data Analyst ...started a Co-Op for NU-RES on 5/8/23 and will be working with us, learning new skills, through 12/14/23
 - Sri Vyshnavi Kotha sr.kotha@northeastern.edu; Software Systems Administrator ..Graduated with a Masters Degree, and is off on new adventures....
 - Neha Bhutkar n.bhutkar@northeastern.edu; eRA Support Administrator...extended as PT student through 12/31/23
 - Geetha Parthasarathy g.parthasarathy@northeastern.edu; Web Content Manager...extended through 8/31/23
- And Finally....Welcome TJ Singh as the new eRA Support Specialist.. TJ joins NU-RES from J&S International where he worked as Network Assistant Engineer troubleshooting/installing Computer Hardware and Software; he is also skilled in database management and scripting.
 - While he learns the ropes, he'll be flying under the radar for a month learning NU systems to support our NU-RES community....Please welcome TJ! (taj.singh@northeastern.edu)

NU-RES Compliance Updates

- The Allowability Panel's Deidentified Fact Pattern has been published to the NU-RES website for those interested in reading the inquiries submitted and responses issued.
 - <https://research.northeastern.edu/nu-res/compliance/northeastern-research-allowability-advisory-panel/>

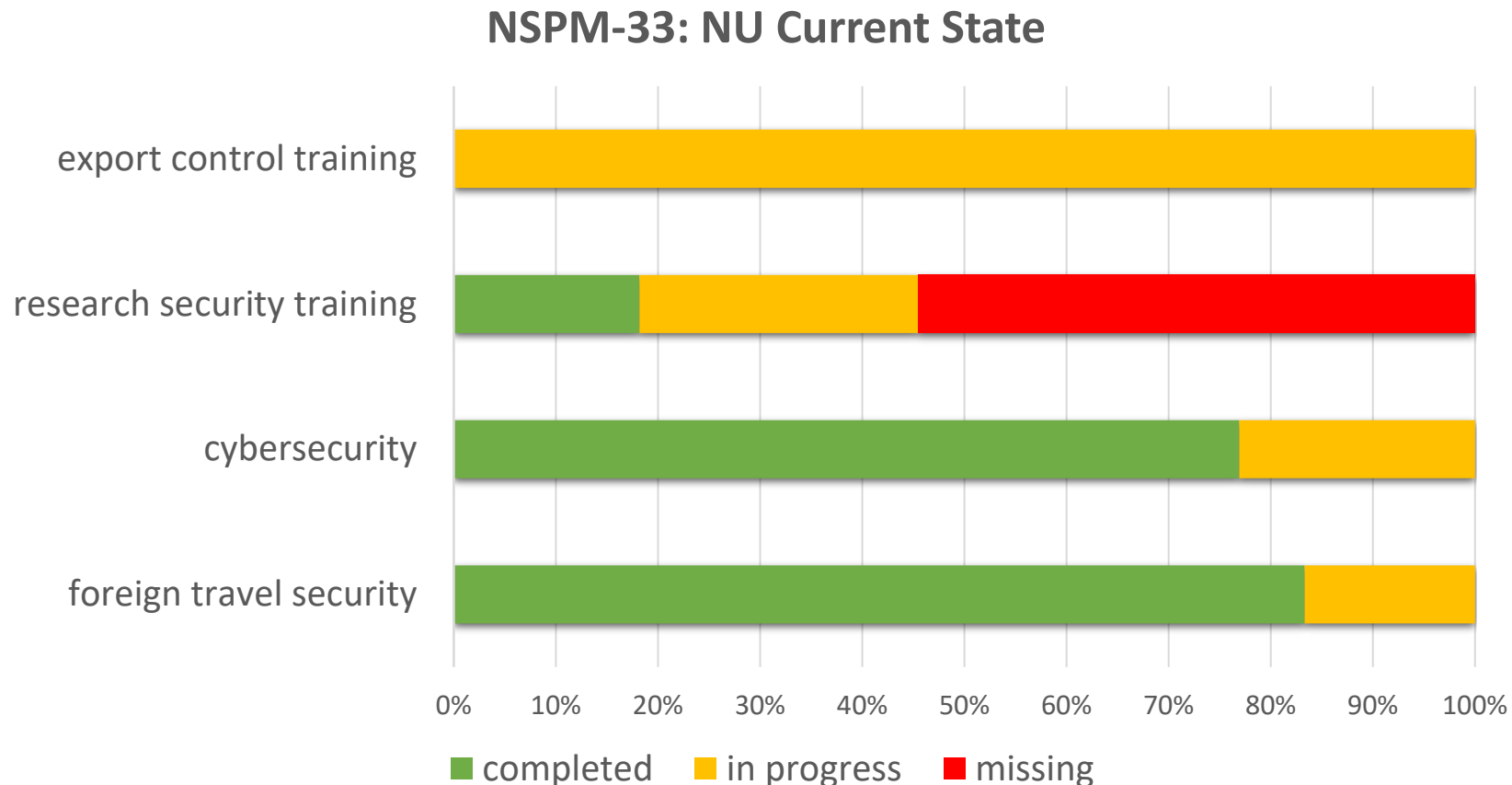
NSPM-33 Research Security Program

- January 2022, the government released "Guidance for Implementing National Security Presidential Memorandum 33 (NSPM-33) on National Security Strategy for United States Government-Supported Research and Development"
 - Set forth the requirement that institutions receiving more than \$50 million per year in federal research funding must stand up a research security program by the time the guidance is implemented
 - Required elements: cyber security, foreign travel security, insider threat awareness and identification, and export control training.
- February 2023, Office of Science and Technology Policy (OSTP) released a draft Research Security Programs Standard Requirement document
 - Included some additional requirements related to the research security programs guidance
 - Draft is still open for public comment; hope to get finalized guidance later this year

Required Components

- Cybersecurity
 - Apply basic safeguarding protocols and procedures; CMMC security requirements are separate and distinct from these.
- Foreign travel security
 - Policies that include an organizational record of covered international travel by faculty and staff and, as appropriate, a disclosure and authorization requirement in advance of international travel, security briefings, assistance with electronic device security (smartphones, laptops, etc.), and preregistration requirements.
- Research security training
 - Provide training to relevant personnel on research security threat awareness and identification, including insider threat.
- Export control training
 - Research organizations conducting R&D that is subject to export control restrictions should provide training to relevant personnel on requirements and processes for reviewing foreign sponsors, collaborators and partnerships, and for ensuring compliance with Federal export control requirements and restricted entities lists.
- Digital Persistent Identifiers (DPIs)
 - Incorporate into disclosure process to bolster research security and integrity while reducing administrative burden.

NSPM-33: NU Current State



- Currently NU is in a good position with most elements in the “in progress” stance.
- Regarding the “missing” 55% of the research security training, we are waiting for the release of the (4) NSF Funded trainings under the Research Security Training for the United States Community. They will become available at the end of 2023.

Collaborative Help for ITS Survey

Survey Objectives:

- Elicit feedback from the research community about their current experience with research technology support offered by ITS;
- Gain further insight into which technical resources the research community is aware of or utilizes;
- Receive any other questions or comments in response to the survey to enhance ITS services and resources for the research community.

Survey Audience:

- Research faculty/Principal Investigators
- Research scientists
- Lab/Center managers
- Research program managers
- Research assistants
- Graduate research assistants
- Students participating in or conducting research

Link to Qualtrics Survey:

- https://neu.co1.qualtrics.com/jfe/form/SV_42WIfqaVNER55QW

Upcoming Event: NU-RES Summer 2023 Workshop

Summer Workshop Monday, June 12th, 2023	9:15 AM	9:50 AM	BREAKFAST
			MORNING SESSIONS
	9:50 AM	10:00 AM	Opening Remarks
	10:00 AM	10:45 AM	Biosketch and Current & Pending (Other) Support documents: best practices, headaches, & lessons learned
	10:45 AM	11:30 AM	A Multi-Perspective on Safe and Inclusive Off-Site Work Environments
	11:30 AM	11:45 AM	BREAK
	11:45 AM	12:30 PM	Safety Panel Discussion with OARS, HRPP, IACUC
	12:30 PM	1:15 PM	LUNCH
			AFTERNOON SESSIONS
	1:15 PM	2:00 PM	Managing Human Subject Data and Related Agreements
	2:00 PM	2:45 PM	The Lifecycle of an Expenditure: NIH DMSP Cost Budgeting and Monitoring
	2:45 PM	3:00 PM	BREAK
	3:00 PM	3:45 PM	Faculty Financial Reporting: A Department Panel on Best Practices, Tips and Tricks
3:45 PM	4:00 PM	Closing Remarks	

As a bonus we will have a raffle drawing for those who attend either in-person or virtually.

There will be two drawings; one at lunch and one after the final session. Each drawing will have three winners.

The prize will be an emailed virtual (eco-friendly) gift card to a local restaurant/business in the winner's geographic area. The first winner pulled in each drawing will win \$50 while Second and Third will win \$25 each.

The winners will be contacted after the end of the workshop for additional information.

Planning Committee Members: Morgan Fielding, Amanda Humphrey, Joan Cyr, Nicole Magni, Fiorella Chavez, and Juan Carlos Hincapie

Qualtrics Registration Link: https://neu.co1.qualtrics.com/jfe/form/SV_3QM8qKCOa75ZAay

Upcoming Events

- **Virtual Satellite Office Hours**, Thursdays 1:30-2:30pm
- **NCURA Webinar: Cost Transfers: Evaluating your Current Procedures to Mitigate Risk**
 - **Date and Time:** Monday, May 22nd at 2:00 PM
 - **Webinar Details:** Cost transfers are always an area of high scrutiny. While the simplest suggestion is to prevent them altogether, in many cases they are unavoidable. This webinar will explore the federal requirements surrounding cost transfers and examine how two different institutions have implemented policies to monitor compliance and mitigate the risk associated with these types of transactions. The webinar will discuss ways to ensure all cost transfers are appropriate, timely, and most importantly, sufficiently documented.
 - **Registration Link:** <https://onlinelearning.ncura.edu/p/230522costXLtransfers>
- **Spring 2023 NSF Virtual Grants Conference (Registration is Free!):**
 - **Dates:** June 5-8, 2023
 - **Link to Agenda:** <https://nsfpolicyoutreach.com/agenda/>
 - **Link for Registration:** <https://nsfpolicyoutreach.com/spring-2023-registration/>
 - You must individually register for each event you would like to attend

NU-RES and You!

Thank You!

See you next
Academic Year!

