

Research Administration Brown Bag Series

April 18, 2023



**Northeastern
University**

Agenda

- NU-RES Admin updates
- Systems updates
- NU-RES Finance updates
- NU-RES Compliance updates
- Request to Collaboratively Help ITS
- Upcoming events

NU-RES Admin Updates

- Staff Updates
 - New GO, Kerri Jenkins
 - Dana Chyung will move to transaction team May 1.
- Grants Online transitioning to GEMS in NIH eRA platform
 - Grants Enterprise Management Solution - GEMS is the Department of Commerce project to modernize the it's grants systems and harmonize its grant processes.
 - NOAA grant recipients are scheduled to transition to GEMS in October 2023 (FY 2024).
 - Support for processing new application submissions in GEMS will begin in January 2024.
 - Questions about the GEMS project can be directed to GEMSProgram@doc.gov

NU-RES Admin Updates

NIH Update: [NOT-OD-23-106](#), Revising the NIH/AHRQ/NIOSH Post-Submission Material Policy

- COVID Public Health Emergency will end May 11, 2023 (see [NOT-OD-23-095](#)).
- Special exception to post-submission policy is ended and replaced as follows.
- Applications submitted for May 25, 2023 receipt date and beyond, NIH, AHRQ, and NIOSH will accept a one-page update with preliminary data as post-submission material for Type 1 R01, R21, or R03 applications, including resubmissions.
- NOFO used for submission must allow preliminary data in the application.
- Deadline for submitting all post-submission materials, including preliminary data, will be 30 days before the study section meeting, unless specified otherwise in the NOFO.

Scenarios in Which Post-Submission Preliminary Data Are and Are Not Allowed

Mechanism	Type 1 (New and Resubmission of New application)	Type 2 (Renewal)	Type 3 (Competitive Revision)
R01	Allowed*	Not allowed	Not allowed
R21	Allowed*	Not allowed	Not allowed
R03	Allowed*	Not allowed	Not allowed
All others	Not allowed	Not allowed	Not allowed

*Only if the NOFO allows preliminary data

NU-RES Admin Updates

NIH Update: Data Management and Sharing (DMS) Plan – Element 6 Instructions:

NIH implemented the requirement that a Data Management and Sharing (DMS) Plan be submitted in certain types of proposals. The plan must consist of 6 main elements. Element 6 requires Grantees to identify how compliance of the plan will be monitored and managed.

6. Oversight of Data Management and Sharing:

Instructions: Indicate how compliance with the DMS Plan will be monitored and managed, the frequency of oversight, and by whom (e.g., title, roles).

At Northeastern University (NU), the day-to-day management and monitoring of the plan rests with the Principal Investigator with the Research Enterprise Services office (NU-RES) confirming compliance on an annual basis during RPPR review. As such, when preparing the plan, we ask the NU community to answer Element 6 as follows:

"The Principal Investigator will be responsible for the day-to-day oversight of lab/team data management activities and data sharing. In addition, the Research Enterprise Services office at Northeastern University (NU-RES) will be administering this award and will be confirming compliance of the Plan with the PI as part of the annual NIH progress report review."

Relevant links:

SF424 Guide: <https://grants.nih.gov/grants/how-to-apply-application-guide/forms-h/general/g.400-phs-398-research-plan-form.htm#11>

NIH Website: <https://sharing.nih.gov/data-management-and-sharing-policy/planning-and-budgeting-for-data-management-and-sharing/writing-a-data-management-and-sharing-plan>

NU-RES Admin Updates

NSF Update:

NSF has issued revised [Frequently Asked Questions \(FAQs\) On Proposal Preparation and Award Administration Related to the NSF Proposal & Award Policies & Procedures Guide](#) (PAPPG) ([NSF 23-1](#)).

Systems/Data Updates

Personnel updates:

- Amanda Mello is returning from leave on 5/15 (Yay!)...*and....*
- Amanda has accepted a position as a BI Analyst with the BI Team in ITS starting 5/22 and will be supporting the Research portfolio (NU-RES)
 - We will be posting a position for her FT replacement
- Mandar Jadhav, who has been successfully filling Amanda's shoes during her leave, will be starting as a FT Co-op on 5/8 through end of the calendar year (Yay!)

NU-RES Finance Staffing Update

Welcome, Marisa!

- **Marisa LeForge, Research Account Analyst.** Marisa reports to Chris Tiller and will start with supporting Bouve and Library.

New Rate Agreement FY24

Northeastern has finalized the F&A and fringe rates for FY24. The fringe rate will increase from 25.5% to **25.9%** for fully benefited employees starting July 1, 2023. The F&A rates will also increase to 59.5% for those awards that were issued under the new rate agreement dated 7/28/2022. The full rate agreement can be found on our [Policies and Guidelines](#) page.

ORGANIZATION: Northeastern University
AGREEMENT DATE: 03/16/2023

SECTION I: FRINGE BENEFIT RATES**

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE(%)</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
FIXED	7/1/2022	6/30/2023	25.50	All	Fully Benefited Emp.
FIXED	7/1/2022	6/30/2023	7.65	All	Temp/Part-Time Emp.
FIXED	7/1/2023	6/30/2024	25.90	All	Fully Benefited Emp.
FIXED	7/1/2023	6/30/2024	7.65	All	Temp/Part-Time Emp.
PROV.	7/1/2024	Until Amended			Use same rates and conditions as those cited for fiscal year ending Jun 30, 2024

Grant and contract proposal budgets not yet routed to NU-RES RA for review should be updated to reflect the new rates above as applicable.

Please contact your Grant Officer or Financial Analyst with any questions or concerns. For additional information and copy of the revised quick facts please visit our [website](#).

HRIT Obligation Reporting Announcement

We are excited to announce that the long-awaited payroll and fringe obligation reporting is available via e-print reporting. Obligations replace what was known as encumbrances in Banner.

The original payroll and fringe obligations will include those for in-progress pay periods and remaining periods that **pay** in the current fiscal year ending 6/30. However, please note that workers on some types of paid leaves do not create obligations and neither do PhD Students (SGAs), we are working on a fix to address these in the coming months.

As of 4/14/23:

E-Print Reports for commitment obligations have been made available to you. We have loaded the original commitment as of 4/1, and ITS/Finance is working on importing adjustments and liquidations through the 4/14-paying BW and SM payrolls. Please note that ITS is still working on related **Cognos** reports.

- Reports ready and available include the following: **PZRSEM2, PZRSEM5, PZRSEMD** (access depends on your role at NU).
- These reports are being worked on and while you may see them, they do contain errors which are being worked on by ITS: **PZRSEM3, PZRSEM4 PZRSEMR.**

Please don't hesitate to reach out to [HRIT support](#) for technical question or [Payroll support](#) for pay-related questions.

Summer Salary 2023 (Period Activity Pay)

Resources:

- [Faculty Summer Salary Memo - Summer 2023](#)
- [NURES Guidelines for Calculating Summer Salary](#)
- [PAP QuickCard](#)
- [Institute Base Salary Page](#)
- [PAP Demo Training \(video\)](#)

Deadlines:

Workday PAP requests must reach NU-RES Finance
5 business days prior to the HRM deadlines →

Pay ID	Pay No.	NURES Deadline	HRM Deadline	Payroll Start Date	Pay Date
SM	9	05/01/23	05/09/23	05/01/23	05/15/23
SM	10	05/16/23	05/24/23	05/16/23	05/31/23
SM	11	06/01/23	06/09/23	06/01/23	06/15/23
SM	12	06/19/23	06/26/23	06/16/23	06/30/23
SM	13	06/30/23	07/07/23	07/01/23	07/15/23
SM	14	07/17/23	07/24/23	07/16/23	07/29/23
SM	15	08/01/23	08/08/23	08/01/23	08/15/23
SM	16	08/17/23	08/24/23	08/16/23	08/31/23

Reminder:

Include **IBS calculation** and **description of work** on grant(s) in the Activity Comments section. PAPs lacking justification will be returned to submitter.

Please contact **Fred Crompt**, **NURES Finance** with any questions. Thank you!

NU-RES Compliance Updates

New Email for CUI Questions:

- CUI@northeastern.edu is a new email that has been setup to receive questions related to Controlled Unclassified Information (CUI) in research.
- Questions will go to a panel with KRI, OIS, and NU-RES staff. This operates similarly to the allowability panel process.

Collaborative Help for ITS Survey

Survey Objectives:

- Elicit feedback from the research community about their current experience with research technology support offered by ITS;
- Gain further insight into which technical resources the research community is aware of or utilizes;
- Receive any other questions or comments in response to the survey to enhance ITS services and resources for the research community.

Survey Audience:

- Research faculty/Principal Investigators
- Research scientists
- Lab/Center managers
- Research program managers
- Research assistants
- Graduate research assistants
- Students participating in or conducting research

Survey Time and Deadline:

- Length of the survey is approximately 5-10 minutes
- Please respond to this survey by **Thursday, April 27th**

Link to Qualtrics Survey:

- https://neu.co1.qualtrics.com/jfe/form/SV_42WIfqaVNERS5QW

Upcoming Event: NU-RES Summer Workshop

Summer Workshop Monday, June 12th, 2023	9:15 AM	9:50 AM	BREAKFAST
			MORNING SESSIONS
	9:50 AM	10:00 AM	Opening Remarks
	10:00 AM	10:45 AM	The Good and The Bad: Working with SciENcv, ORCID, and the new Biosketch and C&P Requirements
	10:45 AM	11:30 AM	A Multi-Perspective on Safe and Inclusive Off-Site Work Environments
	11:30 AM	11:45 AM	BREAK
	11:45 AM	12:30 PM	Safety Panel Discussion with OARS, HRPP, IACUC
	12:30 PM	1:15 PM	LUNCH
			AFTERNOON SESSIONS
	1:15 PM	2:00 PM	Managing Human Subject Data and Related Agreements
	2:00 PM	2:45 PM	The Lifecycle of an Expenditure: NIH DMSP Cost Budgeting and Monitoring
	2:45 PM	3:00 PM	BREAK
	3:00 PM	3:45 PM	Faculty Financial Reporting: A Department Panel on Best Practices, Tips and Tricks
3:45 PM	4:00 PM	Closing Remarks	

Planning Committee Members: Morgan Fielding, Amanda Humphrey, Joan Cyr, Nicole Magni, Fiorella Chavez, and Juan Carlos Hincapie

Qualtrics Registration Link: https://neu.co1.qualtrics.com/jfe/form/SV_3QM8qKCOa7SZAay

Upcoming Events

- **Virtual Satellite Office Hours**, Thursdays 1:30-2:30pm
- **NU-RESearch Newsletter Issue #2**
 - The Spring edition of the newsletter to be distributed with a target date of May 2023
 - Two ways to opt-in if you have not and would like to:
 - Qualtrics link: https://neu.co1.qualtrics.com/jfe/form/SV_3PgChPvA3oEiHIO or;
 - To opt-in to the new list, send email to research-users-subscribe-request@listserv.neu.edu and **just put the word subscribe in the subject**. Submitter will receive an email to confirm the subscription, and once completed, they'll receive messages from the list, including those they send.
- **NCURA Webinar: Current and Pending/Other Support: Updates to NIH and NSF Requirements and Institutional Approaches**
 - **Date and Time:** Tuesday, April 25th at 2:00 PM
 - **Webinar Details:** This webinar will cover the context in which the NIH and NSF have made updates to their Current and Pending/Other Support formats, as well as go through specific changes made to each agency's forms. Representatives from the NIH and NSF will share the current state of multi-agency efforts and talk about the future of the agency's formats. The webinar will also describe institutional approaches to updated agency requirements.
 - **Registration Link:** <https://onlinelearning.ncura.edu/p/230425nih-nsfXL>

NU-RES and You!

Thank You!

See you in May!

