

Research Administration Brown Bag Series

January 21, 2021



Agenda

- Controlled Unclassified Information (CUI)
- NU-RES Admin updates
- Spotlight: eCLAWs "Explanation" field
- ePAWs/Coeus server update 1/15/21
- New Department Check Deposit process
- Upcoming events

Controlled Unclassified Information (CUI)

- DCSA defines CUI as: *government created or owned information that requires safeguarding or dissemination controls consistent with applicable laws, regulations and government-wide policies. CUI is not classified information. It is not corporate intellectual property unless created for or included in requirements related to a government contract.*
- National Archives defines it as: *information that requires safeguarding or dissemination controls pursuant to and consistent with applicable law, regulations, and government-wide policies*

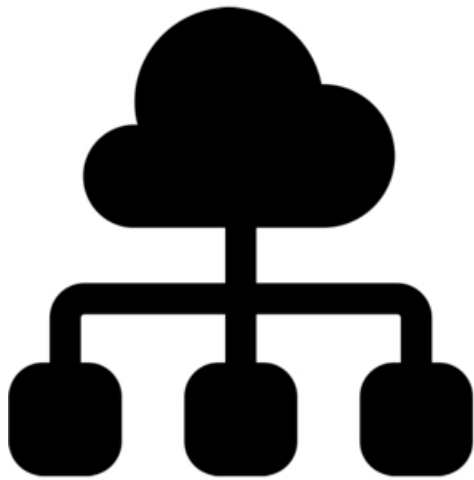
CUI: Example 1

- DOD funded contract
- DOD is not providing any data to Northeastern
- Contract includes term 252.204-7012 *Safeguarding Covered Defense Information*
- PI has specifically carved out a small portion marked as fundamental research
- The goal of the project is to create a new dual use algorithm
- There are prohibitions on foreign national participation

CUI: Example 2

- DOT funded contract
- DOT is providing data to Northeastern that is not publicly available for a research project on understanding interstate maintenance issues
- Entire project is fundamental
- The goal of the contract is the creation of a white paper on improving interstate coordination for road repairs
- There are no references to CUI in the contract

Current CUI Protection at Northeastern



Get a GCC account for faculty/students on CUI projects

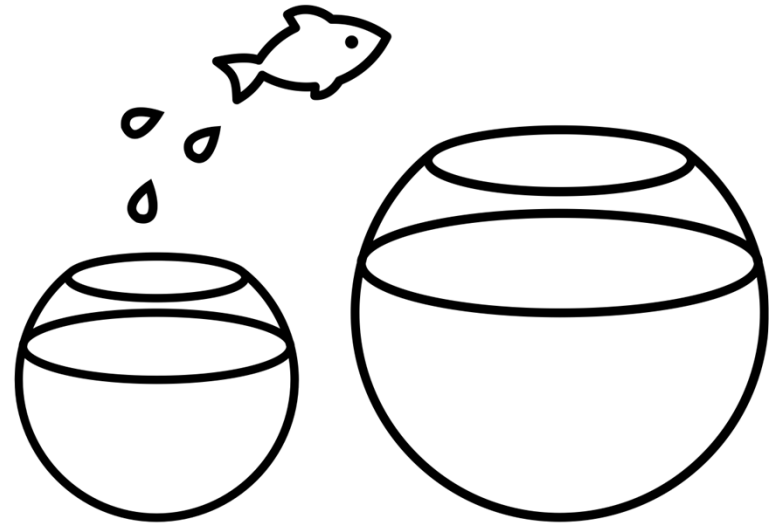
Review contract/OTA/other non-standard (grant) RFPs for references to CUI

Faculty members may request a TCP for their CUI project to outline protections

Our new CUI webpage with additional information

Future State of CUI Protection

- Northeastern is seeking a Cybersecurity Maturity Model Certification (CMMC) level 3
- High standard for protection of data
- Without the CMMC certification, we would not be able to pursue certain federal contracts



Tips for Identifying CUI

Cue	Follow up action
Proposal	
RFP references: <ul style="list-style-type: none"> • CUI • NIST SP 800-171 • Restrictions on foreign nationals 	<ul style="list-style-type: none"> • Notify the PI to define applicability or not • Coordinate with NU-RES to include exception letter
Award Negotiation / Acceptance	
Draft documents should include final determination of applicability	If applicable, NU-RES will notify PI to set up GCC Accounts



Tips for Identifying CUI

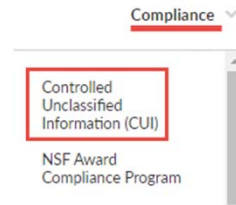
- <https://www.archives.gov/cui/registry/category-marking-list>
- CUI must be clearly marked
- Some CUI will just say CUI and others will include more specific headers regarding the controls:
- CUI//SP-EXPT for export controls

Institutional Resources for CUI

- ITS
 - <https://its.northeastern.edu/category/security/>
- NU-RES Research Compliance
 - <https://research.northeastern.edu/nu-res/compliance/controlled-unclassified-information-cui/>

Institutional Resources for CUI

- New information on the NU-RES website on [Controlled Unclassified Information \(CUI\)](#)



- Department of Defense (DOD), requires contractors receiving DOD contracts to undergo an assessment to determine their cybersecurity maturity

NU-RES Admin Updates

- NSF Training: Additional Live training dates scheduled Jan/Feb
 - Visit the [NSF Training Page](#) to register
 - ~80% of completions thusfar are through Live Training
 - As of 1/19/21, Overall Percent Completed = 46%
- eRA Will Require the Use of secure 2FA Login.gov to Access eRA Commons, ASSIST, IAR and Commons Mobile - September 2021 - see details [here](#)

NU-RES Admin Updates



Mini Learn More – February 2021

- ✓ Updated Award Obligation Setup SOP
- ✓ Updated Award Obligation budget
- ✓ Institutional Base Salary (IBS) Calculator Tool



Spotlight: eCLAWs "Explanation" field

- eCLAWs Explanation field is a tool for YOU!
 - List any VIP info about the record...e.g. NCE for "Other Unfunded"
 - Searchable! Enter terms from "Explanation" in the Search field
 - Viewable on the Dashboard by ALL ROLES (don't need access to the record)

The screenshot shows the eCLAWs dashboard interface. At the top, there is a search bar with the placeholder text 'searchable'. Below the search bar, there are status filters: 'In Process', 'Active', and 'Closed'. A blue box highlights the 'Explanation' field in the table, which contains the text 'Explanation is used for VIP info and is Searchable!'. A red arrow points to the 'Search' button. The table below has columns for 'eCLAWs ID', 'NU Contact', 'NU Contact Department', 'Other Party', 'Purpose', 'Type', 'Start - End Dates', 'Last Modified', and 'Status'. The first row of the table is highlighted with a red box and contains the following data: '21-C-00049', 'Amanda Coelho Ramos', '149080 : COEUS Administration', 'MT : Moderna Therapeutics', 'Research & Innovation', 'MTA', '11/18/2020', and 'Pending Institutional Review'. A purple arrow points to the 'Other Party' column header.

ePAWs 1.0/Coeus Lite server/software update

- Hardware Server and Operating System were at 'end of life' and had to be upgraded (no longer supported by OS developer)
- Browser security issue
- Upgraded on 1/15/21...final task related to Coeus Lite Award applied 1/21/21
- Questions/concerns (NU-RESHC@northeastern.edu)

NEW Check Deposit Workflow

Purpose

- ✓ Deposit checks payable to Northeastern University received by the Colleges/Pis/Depart Admins
- ✓ Payments may include – sponsor payments, vendor refunds, return of leftover participant moneys, etc.

OLD Process

1. Paper form completed & approved by P/admin/budget manager sent to Treasury Services along with the check
2. Checks for Research grants (ledger 5) inconsistently sent to NU-RES Finance or Treasury Services for deposit

NEW / Current Process Improvement

1. College admin/PI completes online Deposit Request Form in K2 -
<https://northeastern.onk2.com/Runtime/Runtime/Form/Department+Deposit+-+Main/>
2. The deposit request automatically routes to responsible department based on index number
Ledger 5 → NU-RES Finance
All other → Treasury Services
3. Submitter sends check (via interoffice) to responsible department referencing the request ID on the envelope
4. NU-RES Finance/Treasury deposit check and complete request in K2
5. Submitter receives system confirmation of deposit

Upcoming events

- NU-RES Fall events can be found on the [NU-RES Events Calendar](#)
 - Next up:
 - [NSF Training](#) – Additional dates added Jan/Feb
 - [Virtual Satellite Office Hours](#), Thursday's 1:30-2:30pm

NU-RES and You!

Thank You!

See you in February!



N Northeastern University
Research Enterprise Services



N
LVX
VERITAS
VIRTUS