Research Administration Brown Bag Series

April 9, 2024



Agenda

- NU-RES Admin Updates
- Data/Systems Updates
- NU-RES Finance Updates
- NU-RES Compliance Updates
- Upcoming Events





NSF Updates: Award Terms and Conditions have been revised for consistency with the updated NSF Proposal and Award Policies and Procedures Guide (NSF 24-1).

- The updated suite of award terms and conditions includes the:
 - NSF Agency Specific Requirements to the Research Terms and Conditions (ASR);
 - Grant General Conditions (GC-1);
 - Cooperative Agreement Financial & Administrative Terms and Conditions (CA-FATC);
 - Cooperative Agreement Modifications and Supplemental Financial and Administrative Terms and Conditions for Major Multi-User Research Facility Projects and Federally Funded Research and Development Centers;
 - Cooperative Agreement Modifications and Supplemental Financial and Administrative Terms and Conditions for Mid-Scale Research Infrastructure Projects;
 - International Research Terms and Conditions (IRTC);
 - Special Terms and Conditions for Administration of NSF Conference or Travel Grants (FL 26); and
 - Special Terms and Conditions for Administration of NSF Conference or Travel Grants Made to International Organizations (FL 26 for IRTC).

The revised award terms and conditions will apply to new NSF awards and funding amendments to existing NSF awards made on or after May 20, 2024.

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NSF Updates: <u>Award Terms and Conditions</u> - Chapter specific updates

- Chapter III, Section 1: NSF's Implementation of Grants.gov
 - Updated to identify the types of submissions that must be submitted via Research.gov.
 - o Following submissions must be submitted in Research.gov, as Grants.gov does not support the functionality.
 - Separately submitted collaborative applications from multiple organizations;
 - Letters of intent;
 - Planning Applications;
 - Pre-applications (preliminary proposals);
 - SBIR and STTR applications; and
 - Postdoctoral fellowship applications with reference letter requirements.
 - Some applications can be submitted in Grants.gov but must be completed or updated in Research.gov.
 - Applications with project data forms for the Directorate for STEM Education (EDU)/Division of Undergraduate Education (DUE));
 - Postdoctoral fellowship applications without reference letter requirements;
 - Grant Opportunities for Academic Liaison with Industry (GOALI) applications;
 - Applications with subawards; and
 - Applications with budgets of six years or more.





NSF Updates: <u>Award Terms and Conditions</u> - Chapter specific updates

- Chapter VI, Section 1.7: Mentoring Plan.
 - Updated for consistency with PAPPG to state that plan must now cover postdoctoral scholars <u>and</u> graduate students supported by the project.
 - Page limit remains one (1) page.
- Chapter VI, Sections 2.2 and 2.7: Biographical Sketch.
 - Updated for consistency with PAPPG.
 - Page limit removed.
- Chapter VI, Sections 2.5 and 2.10: Synergistic Activities.
 - New Section to be consistent with the new requirements in the PAPPG.
 - One-page document for the PD/PI that includes a list of up to five distinct examples that demonstrates
 the broader impact of the individual's professional and scholarly activities that focus on the integration
 and transfer of knowledge as well as its creation.





NIH Updates: Changes Coming to Applications and Peer Review in January 2025

- Changes include:
 - Simplified review criteria for most research project grants (<u>NOT-OD-24-010</u>)
 - Recorded webinar from November 3, 2023
 - Upcoming webinar April 17, 2024 (Free to register and attend)
 - o Revisions to the fellowship application and review process
 - Changes will be announced soon
 - Webinar on September 19, 2024 (<u>Free to register and attend</u>)
 - Updates to reference letter guidance
 - Updated instructions will be posted later this fall.
 - Updates to NRSA training grant applications
 - NIH Guide notice will be published in late spring
 - Webinar on June 5, 2024 (<u>Free to register and attend</u>)
 - Updated application forms (FORMS-I)
 - Specifics follow on next slide
 - o Common Forms for biographical sketch and current and pending (other) support

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NIH Updates: <u>NOT-OD-24-086</u> - New NIH "FORMS-I" Grant Application Forms and Instructions Coming for Due Dates on or after January 25, 2025.

- The following application forms include substantive form changes (i.e., new/deleted/modified fields).
 - PHS 398 Research Training Program Plan.
 - New attachment field for the Recruitment Plan to Enhance Diversity.
 - Additional changes for institutional training grants detailed via NIH Guide notice by late spring 2024.
 - PHS Fellowship Supplemental Form.
 - Changes address efforts to improve the peer review process for NRSA Fellowship applications.
 - Additional details will be provided via an NIH Guide notice by late spring 2024.
 - o PHS Assignment Request Form.
 - PHS 398 Cover Page Supplement Form.
- All other forms include only an OMB expiration date change.





Data/Systems Updates

UPDATES:

- Locking ePAWs 1.0 documents functionality; awaiting NU-RES Admin process completion
- Compliance Review function in eCLAWs; after review, determined some enhancements are required before launch
- Data Quality & Research Administration Analytics Manager...interviews underway
- In Proposal reports in the Data Warehouse, FY Funds counted calculation will be amended as follows:
 - If the proposal is ORS, use the Start Date of the project period (currently part of calculation)
 - *NEW* If there is a Date Submitted to Agency date in ePAWs, use the Date Submitted to Agency
 - Otherwise, use Institute Proposal Create Date (currently part of calculation)
- Creating a webpage for college local grant admins to take the place of the PDF; different iterations under review by Website Refresh/Reimagine team
- Sharepoint Excel Tracker...working on migrating to Microsoft List platform for additional functionality
 - e.g. all FYs in one file; dropdown selections minimize data input differences; use of NU directory for names; ability to notify from within the record, or as a scheduled notification, etc.
 - List can be exported to Excel format for any users who utilize the tracking log for internal reporting

purposes







Data/Systems Updates

- *NEW* Tableau LIVE dashboards for each college/HUB to review the ePAWs records submitted to Sponsor and still routing through ePAWs
 - Access to these dashboards are the same as the NSF Programmatic Reports Tableau dashboard

Links will be sent to colleges...please review and help facilitate

completions!

											Submit to Sponsor D	ate • De	escending •
#	ePAWs #	GO	Create Date	Current Stop Person	Current Stop Role	Status	Current_ Organization	College	Lead Organization	Start Date	End Date	Deadline Date	Submit to Sponsor Date
1	10012628	Szulc, Natalia	02/21/2024	Vidrin, Ilya Daniel	Investigator	Awaiting Certification	Theater	College of Arts, Media and Design	Theater	1/1/2025	12/31/2026	4/4/2024	4/4/2024
2	10012901	Szulc, Natalia	04/04/2024	Welles, Brooke Foucault	Investigator	Awaiting Certification	Communicatio n Studies	College of Arts, Media and Design	Communication Studies	1/1/2024	12/31/2024	4/5/2024	4/4/2024





NU-RES Finance Updates

- New Grant Rebudget Form
 - To accompany T-Form, not replace
 - Will be posted to the <u>NU-RES Resources</u>
 page
 - Separate tab for new Child Account requests

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Indirect Costs 79995		
Indirect Cost Rate: 59.50%		
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To be prepared by the Department to be submit	tted alongs	ide the Tra	nsaction F	Request Form	to NU-RI	ES to reque	st new d	hild account(s) fo	r existin	g active grants				
Enter Existing Cumulative Budget for the Fund#			nd enter re	quested chan	ges in th	e Pink Cells	s. Orange	e Cells are Form	ulas, an	d Blue Catego	ries are exclud	led from MDT	C. Current Bu	dget
should match what is entered in Banner as of	the date p	repared.												
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Calendar Months	61016	\$	-	\$	-	\$	-		\$	-	\$	-	\$	
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Scientific Supplies	73304	\$	-	\$	-	\$	-		\$	-	\$	-	\$	
Publications-Printing	73487	\$	-	\$	-	\$	-		\$	-	\$	-	\$	
Lab Recharge Fee Special Services	74233 78001	\$		S	-	\$			\$	-	\$		\$	
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Sub Institute 5	78054	\$	-	S	-	\$	-		s	-	\$	-	\$	
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Summer Salary (Period Activity Pay)

Resources are linked to <u>Institute Base Salary Policy</u> page:

- 2024 Summer Salary Memo
- Guidelines for Calculating Summer Salary
- PAP QuickCard



NURES & Payroll Deadlines:

PAPs must be received 5 business days prior to the HRM deadlines listed below.

• Be sure to add IBS calculation and brief description of work on grant(s). PAPs lacking this justification will be

returned to submitter.

Pay ID	Pay No.	NURES Deadline	HRM Deadline	Payroll Start Date	Pay Date
SM	9	05/01/24	05/08/24	05/01/24	05/15/24
SM	10	05/16/24	05/23/24	05/16/24	05/31/24
SM	11	05/31/24	06/07/24	06/01/24	06/14/24
SM	12	06/14/24	06/21/24	06/16/24	06/28/24
SM	13	06/30/24	07/08/24	07/01/24	07/15/24
SM	14	07/17/24	07/24/24	07/16/24	07/29/24
SM	15	08/01/24	08/08/24	08/01/24	08/15/24
SM	16	08/16/24	08/23/24	08/16/24	08/31/24





NU-RES Finance Updates

We're Hiring!

Data Specialist - Sponsored Award

Core functions include management of new incoming award setups, modifications and rebudgets; recording new setups and transactions in tracking logs; reviewing award notices, account information and file documentation; verifying that all necessary internal approvals have been obtained; reviewing and adjusting budgets for set-up; recording appropriate data into the info sheets, etc.

Reach out to Christa Gonsalves with questions or referrals

Compliance Specialist - Research Finance

Responsible for assisting with Effort Reporting functions, reviewing Payroll Distribution Change (PDC) forms, and monitoring other research compensation processes for the portfolio.

Reach out to Fred Cromp and Fiorella Chavez with questions or referrals

Accounts Receivable Coordinator

The ARC supports processing of electronic payments and check deposits, and identifying, assigning, and applying incoming research payments in Banner Finance. Reviews payment discrepancies and reconciles payments for grant closeout; maintains the payment log and assists in the reconciliation of the log, research clearing accounts, and research Bank account.

Reach out to Kalina Mathurin with questions or referrals

Manager, Cash Accounting (pending posting)

The Manager supports overall management of the Cash team and operations including payment processing, reconciliation of grant payments, payment logs, bank and clearing accounts, collection efforts and Open AR; develops SOPs and best practices for the cash management; supports ACH enrollment and vendor registrations for NU in sponsor systems.

Reach out to Kalina Mathurin with questions or referrals





- NU-RES Summer 2024 Conference has been moved to virtual
- Will occur over 3 days rather than 2 days
 - Tuesday, June 11; Wednesday, June 12; and Thursday, June 13
 - Day 1 and 2: 10:30 AM EST 5:00 PM EST
 - Day 3: 11:30 AM EST 4:30 PM EST
- Reducing number of concurrent sessions to only 2 per time slot
 - Total of 18 concurrent session; 4 group sessions; 3 faculty speaking sessions
- Schedule will be shared after Brown Bag
- Additional information regarding the sessions will be made available by the end of April.
- Some type of registration will be made available for the beginning of May



	Start Time (EDT)	End Time (EDT)							
	10:30 AM	11:30 AM	Opening Remarks/Keynote Address from Robin Cyr and	Faculty Speaker: Professor Alessandro Vespignani					
	11:30 AM	11:45 AM	15 Minute BREAK						
	11:45 AM	12:30 PM	The NU-RES Umbrella: How to Make Sure You Are Covered Presenters: Michael Marino, Julie Renkas, Christa Gonsalves, Dziyana Aydin	IRB 101 for Research Administrators Presenters: Anita Balgopal and Erik Williams					
	12:30 PM 1:15 PM		Lunch						
	1:15 PM 2:00 PM		Uniform Guidance Revisions Joint Session (NU-RES Management/Leadership)						
들	2:00 PM	2:15 PM	15 Minute BREAK						
11-Jun	2:15 PM	3:00 PM	Other Support Documents: What Should Be Included and Where Can I Find It? Presenters: Kayla Portillo, Linda Gladu-Ennis, Joan Cyr, Natalia Szulc	Reflecting on Recent Audit Risk Areas Presenters: Nicole Malzone and Fiorella Chavez					
	3:00 PM	3:15 PM	15 Minute	BREAK					
	3:15 PM 4:00 PM Pr		Proposal Budget Basics Presenters: Kelly Basner, Bridget Carney, Dana Chyung, Tessa Dinnie	Strengthening Financial Compliance: Audit-Ready Practices and Effective Management of Cost Transfers Presenters: Tina Mazuzan (HUB), Research Finance (TBD)					
	4:00 PM	4:15 PM	15 Minute	BREAK					
	4:15 PM	5:00 PM	Strategic Initiatives (N	U-RES Leadership)					





	10:30 AM	11:30 AM	Faculty/Guest Spe	eaker (TBD)				
	11:30 AM	11:45 AM	15 Minute	BREAK				
	11:45 AM	12:30 PM	A Fork in the Road Presenters: Rick Alves, John Crowley, Gatekeeper (TBD)	Subrecipient Monitoring and Audit Best Practices Presenters: Julie Renkas and LeAnh Wong				
	12:30 PM	1:15 PM	Lunck	Lunch				
_	1:15 PM	2:00 PM	Jeopardy	Game				
<u> </u>	2:00 PM	2:15 PM	15 Minute	BREAK				
12-Jun	2:15 PM	3:00 PM	Mitigating and Managing the Risks of International Travel and Collaboration Presenters: Tessa Seales	Reimaging Faculty Onboarding: Community Update & Feedback Session Presenters: Curtis Van Slyck and Wilson Mazile				
	3:00 PM	3: 1 5 PM	15 Minute	BREAK				
	3:15 PM	4:00 PM	What You Should Know About the NIH DMSP, Compliance, Monitoring, Prior Approvals, and Associated Costs Presenters: Juan Carlos Hincapie and Amanda Humphrey	Billing and Cash Management Compliance & Audit Risks Presenters: Sami Reed and Kalina Mathurin				
	4:00 PM	4:15 PM	15 Minute	BREAK				
	4:15 PM	5:00 PM	Professional Pathways Plenary Session (Paula Robinson, Nicole Mag	gni, Heidi Copeland, Dana Chyung, Tessa Dinnie, Dziyana Aydin)				





	11:30 AM	12:30 PM	Faculty Speaker: Profes	ssor Darin Detwiler				
	12:30 PM	1:30 PM	Lunch (Discussion Groups) 1 Hour					
3-Jun	1:30 PM	2:15 PM	Best Practices: How to Effectively Reconcile and Closeout Research Awards Presenters: Amy Gill, Chris Tiller, Dan Dapkas	IRB Reliance: Conducting Multi-Site Research Presenters: Erik Williams				
13	2:15 PM	2:30 PM	15 Minute BREAK					
	2:30 PM	3:15 PM	The Roux Institute: A Case Study in Satellite Campus Support Presenters: Eric Jones/Nicole Magni, Jamie Tessler, Jacque Avery	Creating Transparency Within the Disclosure Process Presenters: Paula Robinson, TBD				
	3:15 PM	3:30 PM	15 Minute I	BREAK				
	3:30 PM	4:15 PM	Data Analytics: How Analyzing Research Administrative, Compliance, and Financial Data Can Improve the Management of Your Research Portfolio Presenters: Dana Carroll and Hamit Hamutcu	Research Finance Beyond Post-Award: Space & F&A Presenters: Peggy Mui				
	4:15 PM	4:30 PM	Closing Address from Robin Cyr					





Upcoming Events

- Virtual Satellite Office Hours, Thursdays 1:30 2:30 PM EST
- NYU's Research Administration Demonstration series (RAD) registration
 - o NYU RAD Website
- RCR Workshop Calendar and Registration
- o RCR Workshop: Managing Conflict and Strengthening Professional Relationships in Research
 - Wednesday, April 9 at 12:00 PM EST
 - Presenter: Kimberly Wong, PhD Ombuds for Graduate Students
- NU-RES Virtual Summer 2024 Research Administration Conference
 - Dates: Tuesday, June 11; Wednesday, June 12; and Thursday, June 13
 - o Agenda, session information, and registration to come this month





NU-RES and You!

Thank You!

See you in May!





